

Author: Claudia Sofía López Medina

Advisor: Dr. Rafael Nieves Castro

Master of Engineering in Manufacturing Engineering

Graduate Project EXPO, February 2026

## Abstract

The pharmaceutical industry requires efficient, high-quality, and compliant manufacturing processes. This study explores the application of Lean Six Sigma methodologies to improve operational performance in pharmaceutical manufacturing. By analyzing existing processes and applying structured improvement tools, opportunities to reduce waste, minimize variability, and enhance product quality were identified. The results demonstrate that Lean Six Sigma supports regulatory compliance while improving efficiency and process consistency, contributing to safer products and continuous improvement within pharmaceutical operations.

Key Terms — Lean Six Sigma (LSS), pharmaceutical manufacturing, process improvement, regulatory compliance.

## Introduction

The pharmaceutical industry operates in a highly regulated environment where efficiency, quality, and compliance are critical. Process inefficiencies and variability continue to challenge organizations, increasing costs and operational risk. Lean Six Sigma provides a structured, data-driven approach to improve performance; however, its application in pharmaceutical manufacturing presents unique challenges. This research examines the use of Lean Six Sigma in pharmaceutical manufacturing and evaluates its impact on operational efficiency, quality, and regulatory compliance.

## Background

In pharmaceutical manufacturing, prior studies highlight LSS benefits in improving compliance, reducing deviations, and enhancing process control; however, implementation remains complex due to strict regulatory requirements and organizational resistance. Current research emphasizes that successful LSS adoption depends on structured deployment, employee training, and strong leadership support, making it a relevant framework for improving pharmaceutical operational performance.

## Problem

Although LSS has proven effective in improving quality and operational performance across industries, its implementation in the pharmaceutical sector remains inconsistent and underutilized. This research addresses the need to understand how LSS can be effectively applied in pharmaceutical manufacturing by evaluating its impact on efficiency, quality, and compliance, and by identifying the key factors that influence successful adoption.

## Methodology

This research was conducted using a mixed-methods approach to provide a comprehensive evaluation of LSS implementation in pharmaceutical manufacturing environments. The methodology was designed to combine qualitative and quantitative techniques to capture measurable performance outcomes and contextual organizational insights.

Qualitative data were collected through semi-structured interviews and case studies conducted across three pharmaceutical manufacturing organizations with varying operational scopes and levels of LSS maturity. Participants included manufacturing managers, quality assurance professionals, process engineers, and LSS practitioners with direct involvement in process improvement initiatives. Interview data were analyzed using thematic analysis to identify recurring patterns related to implementation practices, challenges, leadership involvement, and cultural factors.

Quantitative data were gathered through employee surveys and analysis of internal performance metrics. Surveys were administered using a structured Likert-scale format to assess perceptions of LSS effectiveness, training adequacy, leadership support, and process stability. Descriptive statistical analysis, including means and variability measures, was used to evaluate survey responses and identify trends. In addition, internal documents such as deviation records, CAPA reports, batch documentation, and cycle time data were reviewed over a 12-month period to quantify performance improvements and validate survey and interview findings.

## Results and Discussion

This section presents the results of the research and discusses their implications in relation to the research objectives. Data were obtained through interviews, surveys, and document analysis across three pharmaceutical manufacturing organizations and analyzed using descriptive statistics and qualitative thematic analysis.

Operational performance metrics showed measurable improvements following Lean Six Sigma (LSS) implementation. Document analysis revealed reductions in cycle time (9–14%) and minor deviations (12–20%) in organizations with structured LSS programs. Right-First-Time (RFT) performance increased across all sites, with the most significant improvement observed in the company with formal LSS training and leadership support. These improvements directly address the research objective of assessing the impact of LSS on operational efficiency and quality performance.

## Results and Discussion (cont.)

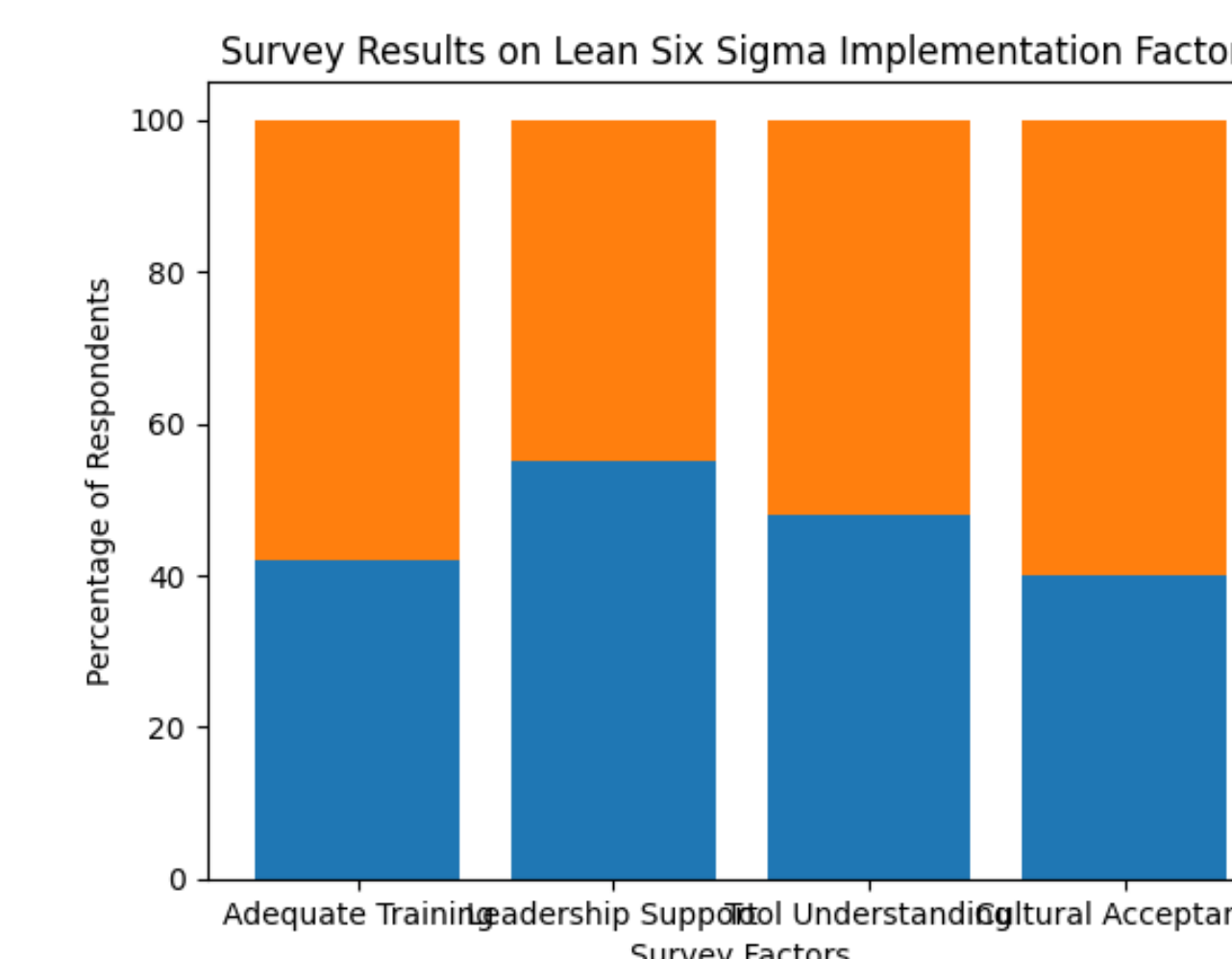


Figure 1: Operational performance indicators before and after LSS implementation.

Survey results (n = 36) were analyzed using descriptive statistics from a 5-point Likert scale. The analysis showed that 81% of respondents agreed that Lean Six Sigma improved product quality, while 86% agreed that it supported regulatory compliance. However, only 42% reported receiving adequate LSS training, and several respondents indicated challenges related to cultural acceptance and tool understanding. These findings support the research objective of evaluating organizational and human factors affecting LSS implementation.

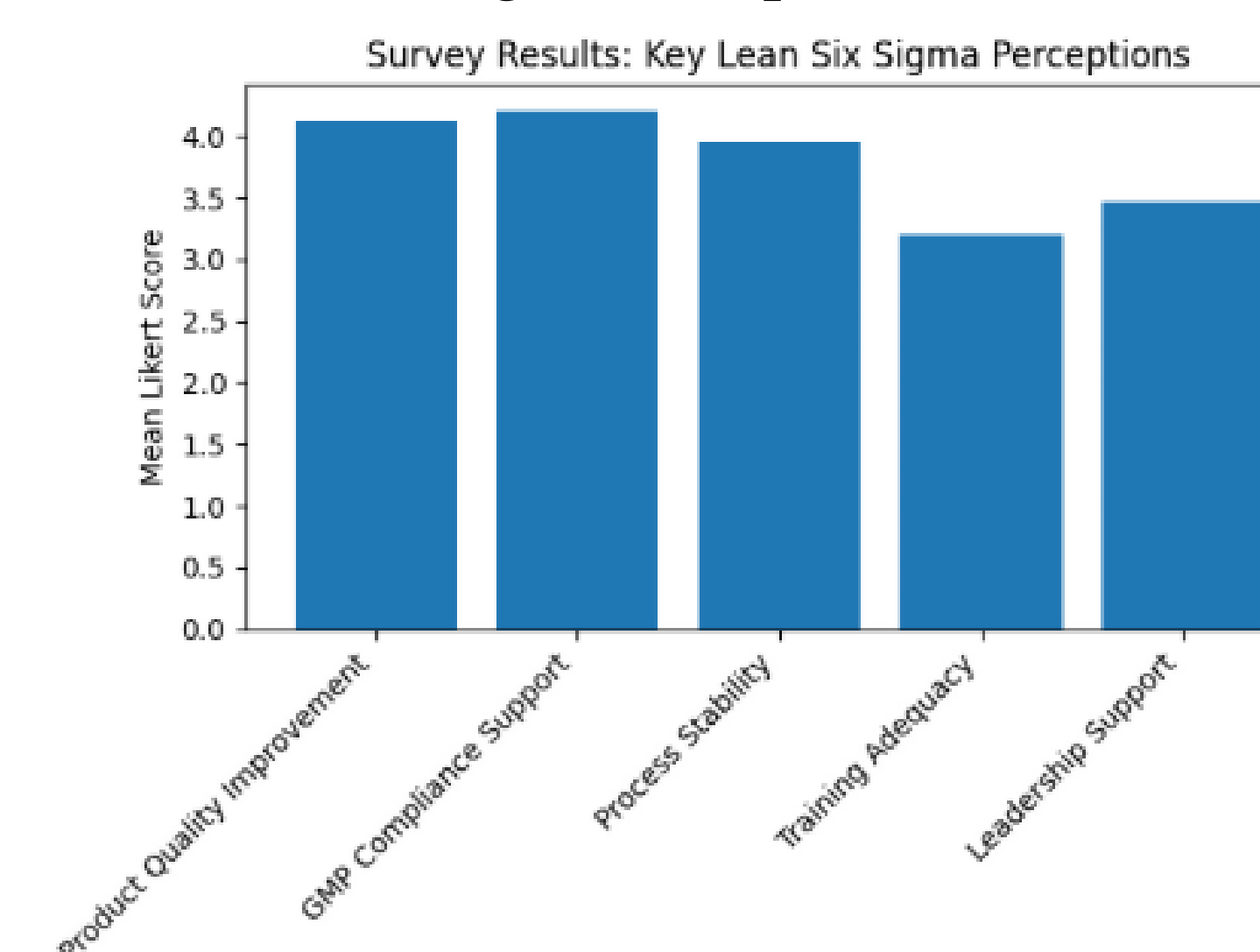


Figure 2: Survey results on employee perceptions of LSS implementation

The combined analysis of performance metrics, survey data, and interview findings demonstrates that Lean Six Sigma is an effective methodology for improving operational efficiency, product quality, and regulatory compliance in pharmaceutical manufacturing. Organizations with structured training programs and strong leadership engagement achieved the greatest performance improvements, supporting the research objective of identifying critical success factors for successful LSS deployment.

Conversely, limited training and cultural resistance reduced the effectiveness of LSS initiatives, explaining variability in performance outcomes across organizations. The alignment of quantitative improvements (Figure 1) with employee perceptions and organizational challenges (Figure 2) strengthens the validity of the conclusions and highlights the importance of sustained training, leadership reinforcement, and cultural alignment for long-term success.

## Conclusions

This research concludes that Lean Six Sigma (LSS) positively impacts operational efficiency, product quality, and regulatory compliance in pharmaceutical manufacturing. Organizations with structured and consistent LSS implementation achieved greater improvements in deviation reduction, Right-First-Time performance, and process stability. The findings also highlight that leadership commitment, employee training, and organizational culture are critical factors influencing LSS effectiveness. Training gaps and cultural resistance were identified as primary barriers limiting improvement outcomes. Overall, this study contributes evidence that successful Lean Six Sigma deployment in regulated pharmaceutical environments requires both technical rigor and strong organizational support.

## Future Work

- Expand the research to additional pharmaceutical manufacturing sites to increase generalizability.
- Evaluate the long-term sustainability of Lean Six Sigma improvements through longitudinal data analysis.
- Investigate the integration of digital and Industry 4.0 tools with Lean Six Sigma practices.

## Acknowledgements

The author thanks Daimarick Torres for editorial support, Rafael A. Nieves-Castro, PharmD, MS, RPh for mentorship, and the Master's program faculty for academic guidance.

## References

- Buer, S.-V., Semini, M., Strandhagen, J. O., & Sgarbossa, F. (2021). The complementary effect of lean manufacturing and digitalization on operational performance. *International Journal of Production Research*.
- Lai, N. Y. G., et al. (2022). Understanding learning intention complexities in lean manufacturing training for innovation. *Journal of Open Innovation: Technology, Market, and Complexity*.
- Sarin, S. C., Sherali, H. D., & Liao, L. (2014). Primary pharmaceutical manufacturing scheduling problem. *IIE Transactions*.
- DAM. (2015). Sales quota accuracy and forecasting. *Corpdyn*.
- Iyengar, S. R. S. (2013). How to write a great research paper [Video]. YouTube.